



## The City &amp; County of Swansea

**EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel**
Ref: 
**THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS**

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Reducing infant class sizes and raising standards – Welsh Government Grant Funding
c. Grant value in Total £	£2,733,033.02 (£1,202,923.02 Revenue £1,530,110 Capital)
d. Grant period / timescale for delivery	April 2017 to 31 March 2021
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	No
f. Detail links to existing schemes at Local, Regional or National level	N/A (this is a new Welsh Government grant)
g. Detail direct links to Council Policy, including, where appropriate, target areas	Improving Education and Skills
h. List key target and proposed performance measures	<ul style="list-style-type: none"> <li>• Reduce class sizes below 29 – monitor January PLASC and future years' PLASC</li> <li>• Improve staff to learner ratios – monitor January PLASC and future years' PLASC</li> <li>• Improved attendance – improved end of academic year attendance stats over the 3 years of grant</li> <li>• Improved outcomes for learners – as evidenced by KS2 CSI, improving over the 3 years of grant</li> <li>• Increased school capacity to meet needs of learners in the community – increase recorded at next capacity calculation.</li> </ul>
i. For <b>EUROPEAN</b> schemes confirm that the scheme has been developed in conjunction with the	(attach email confirmation from the European Unit)

European Unit – Yes /No (delete)	
j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes / No	The delegated budget share for each school will need to fund teaching assistants for each of the new classrooms created (the grant will cover new build/remodelling and teaching costs). This will be funded from the overall delegated budget so no additional cost to the local authority. There is a requirement to maintain provision after the grant ends but this will again be for the schools delegated budget to absorb. Any additional rates bills and premises costs/funding will also be met from the overall delegated budget share; however future capital costs under the Division of Responsibility document will need to be met corporately.
k. Does the application require match-funding? Yes/No if it does where is this coming from?	No
l. Will the project entail the employment of additional staff and on what basis?	Yes – there will be one new class in four primary schools, each class will require one qualified teacher and will also require teaching assistant support to address the Foundation Phase staff to learner ratios. The grant will fund the teachers but each school will need to fund the teaching assistant from their delegated budget share (at no cost to the local authority).
M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?	An Equality Impact Assessment (EIA) screening form has been completed with the agreed outcome that a full EIA report will be required for each individual project if the bid is successful. These will be drafted over the coming weeks.
N. Is a full EIA report required?	Yes if the bid is successful

2. Please complete the following financial information:

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital		1,530,110					
Revenue	92,533	370,128	370,128	370,128			

<b>Grant applied for:</b>							
Capital		1,530,110					
Revenue	92,533	370,128	370,128	370,128			
<b>Match Funding Internal</b>							
<b>Match Funding External</b>							

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes Improving Education and Skills  (Evidence to be attached)
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	<ul style="list-style-type: none"> <li>• To reduce infant class size in the four primary schools selected</li> <li>• To narrow the achievement gap related to social deprivation in the four primary schools selected</li> <li>• Extra time given for Additional Learning Need (ALN) learners without having a detrimental impact on other learners in class.</li> <li>• Allow teachers and associate staff additional time for the ethnic minority learners where English is not their first language to help them engage in the lessons.</li> </ul> (Evidence to be attached)
c. Is there an exit plan? (link to 1j/l)	Yes. School will continue to use classrooms and fund staffing. Some are in areas of increasing pupil population and others could be put to alternative use in future to ensure sustainability e.g. Specialist Teaching Facilities (STFs)  (Evidence to be attached)
d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	It is proposed that the work will be delivered by the Council's Corporate Building and Property Services subject to them being successful in winning the first tendered scheme and using those rates where similar on the remaining three schemes. As part of any procurement process, the internal contractor will ensure: <ul style="list-style-type: none"> <li>• Purchases of works, goods or services will be made through open competition</li> </ul>

	<ul style="list-style-type: none"> <li>• Decisions to purchase goods, services or works will be made with the aim of obtaining value for money</li> <li>• Sufficient time will be allowed to undertake a proper tendering exercise</li> <li>• Suppliers and contractors will be treated fairly and in an even handed manner</li> <li>• A clear and concise documentary audit trail will be kept so the reasoning behind the procurement decision reached is open and transparent.</li> </ul> <p>(Evidence to be attached)</p>
<p>e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?</p>	<p>Overseen by the Education QEd Board and the Education Capital Projects and Delivery Unit (CPDU)</p> <p>(Evidence to be attached)</p>
<p>f. What are the major risks and how will they be managed?</p>	<ul style="list-style-type: none"> <li>• Capital and Revenue funding streams do not align. Early indications demonstrate that not all capital developments will be completed for occupation until the second year of revenue release – Desk top studies have been completed. Further design work will be initiated once feasibility funding is made available.</li> <li>• Limited feasibility has been undertaken prior to initial funding bid submission, consequently delivery timelines may increase – A project timeline will be further developed and presented within capital BJC submission.</li> <li>• There is no feasibility funding available through the authority's resources prior to the submission and approval of the BJC – WG provide upfront feasibility funding.</li> <li>• If the selected schools do not buy-in to the proposal then this may delay BJC submission – A communication plan is currently in development.</li> </ul> <p>(Evidence to be attached)</p>

EFP 1

	Name / Signature	Date
Responsible Officer:	 Kelly Small	25.01.2018
(Principal)/Accountant:	 CHRIS DAVIES	25/1/18
External Funding Panel		
Endorsed /Not Endorsed		